

**Republic of Ecuador / Ministry of Public Health
(MSP)**

**Ecuador COVID-19 Emergency Response and
Vaccination Project (P173773),
First Additional Financing (P176326)
and
Second Additional Financing (P178247)**

**Negotiated Version
ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

June 13, 2022

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Ecuador (hereinafter the Borrower) is implementing the Ecuador COVID-19 Emergency Response and Vaccination Project (the Project), with the involvement of the Ministry of Public Health (MSP), including the Project activities under the Loan Agreement for the original financing (Loan No. 9087-EC) (the “Original Financing”), the Loan Agreement for the first Additional Financing (Loan No. 9228-EC) (the “first AF” or “AF1”), and the activities to be supported under the Loan Agreement for the second Additional Financing (Loan No. [TBD]) (the “second AF” or “AF2”) (each such loan agreement, a “Loan Agreement” and collectively, the “Loan Agreements”). The International Bank for Reconstruction and Development (the Bank) has agreed to provide the original financing (P173773), the first AF (P176326) and the second AF(P178247) for the Project, as set out in the Loan Agreements. This ESCP supersedes previous versions of the ESCP for the Project and shall apply to the original and both additional financings for the Project referred to above.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreements. Unless otherwise defined in this ESCP, capitalized terms used here have the meanings ascribed to them in the Loan Agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievances management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement of the Bank.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower through the Ministry of Public Health and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Minister of Public Health on behalf of the Borrower. The Borrower shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, implementation of the vaccines mandate, stakeholder engagement activities, and functioning of the grievance mechanism.</p>	<p>Six-monthly reports to the Bank throughout Project implementation, commencing after the Effective Date of the Loan Agreement of the Original Financing. Submit each report to the Bank no later than 45 days after the end of each calendar six-month period.</p>	<p>PIU - MSP</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Bank within a timeframe acceptable to the Bank.</p>	<p>PIU-MSP</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain the PIU with qualified staff and resources to support management of ESHS risks and impacts of the Project, including one full-time environmental specialist and one full-time social specialist, with qualifications and experience acceptable to the Bank.</p>	<p>Establish and maintain a PIU as set out in the Loan Agreement. The hiring of the environmental and social specialists is an Additional Condition of Effectiveness under the second AF Loan Agreement and, once in place, these specialists (as with the rest of the PIU) shall be maintained throughout Project implementation.</p>	<p>PIU - MSP</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>1.2 ENVIRONMENTAL AND SOCIAL INSTRUMENTS/ CONTRACTORS</p> <p>a. Update, adopt, disclose, and implement the Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs, the Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good International Industry Practice (GIIP), including relevant WHO guidelines. The ESMF shall include, inter alia, (i) an appendix consisting of the Terms of Reference (TOR) for an ex-post E&S assessment to be carried out by MSP, according to action 1.2(e); (ii) the Action Plan with specific timeframes that was agreed with the Bank pursuant to the completion of the environmental and social assessment carried out for activities subject to retroactive financing under the first AF; and (iii) monitoring and evaluation measures to ensure adequate implementation of the vaccines mandate, and prevention measures to avoid forced vaccinations.</p> <p>b. Adopt and implement any environmental and social management plans (e.g. health-care waste management plans), instruments or other measures required for the respective Project activities in accordance with the ESMF, the ESS, the EHSGs, and other relevant Good International Industry Practice (GIIP), including relevant WHO guidelines to, inter alia, ensure access to and allocation of Project benefits in a fair, equitable and inclusive manner, taking into account the needs of individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, including, as relevant, with regards to vaccines.</p> <p>c. Incorporate the relevant aspects of this ESCP, including, inter alia, the ESMF, any environmental and social management plans or other instruments, LMP, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p> <p>d. Adopt procedures, protocols and/ or other measures to ensure Project beneficiaries that receive vaccines under the Project do so under a program that does not include forced vaccination and is acceptable to the Bank, as set out in the ESMF.</p>	<p>a. Update the ESMF as an Additional Condition of Effectiveness under the second AF Loan Agreement. Adopt and implement the ESMF throughout Project implementation.</p> <p>b. Plans/ instruments shall be prepared, disclosed, consulted on and adopted before the carrying out of the relevant Project activities, and thereafter implemented throughout the carrying out of such activities.</p> <p>c. Incorporate the relevant ESHS measures into the procurement documents before launching the procurement process for the relevant Project activities and subsequent contracts, and thereafter supervise compliance with these measures throughout the carrying out of such activities.</p> <p>d. Before the carrying out of the relevant Project activities, and thereafter implemented throughout the carrying out of such activities.</p>	<p>PIU - MSP</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>e. Carry out a rapid E&S assessment with respect to any activities to be retroactively financed under the second AF, especially regarding the care of patients referred to the private health network within three Zonal Coordination units (#4, #7 and #8), which assessment shall be conducted by a third party. The terms of reference (TOR) for the rapid E&S assessment shall be incorporated into the updated ESMF, as per action 1.2(a) above. The MSP shall implement the measures of any revised Action Plan, if needed, in accordance with the timeline agreed with the Bank.</p>	<p>e. Submit the rapid E&S assessment report as a condition of disbursement, as set out in the second AF Loan Agreement. Implement any necessary measures contained in any revised Action Plan, in accordance with its terms, and in a manner acceptable to the Bank.</p>	
1.3	<p>EXCLUSIONS: Exclude the following types of activities as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> • Activities that may cause long term, permanent and/or irreversible ESHS adverse impacts • Activities that have high probability of causing serious adverse effects to human health and/or the environment other than during treatment of COVID-19 cases • Activities, including but not limited to forced vaccination, that may have significant adverse social impacts and may give rise to significant social conflict. • Activities that may affect lands or rights of indigenous people or other vulnerable minorities • Activities that may involve any resettlement or land acquisition, or adverse impacts on cultural heritage • All the other excluded activities set out in the ESMF of the Project 	Throughout Project implementation.	PIU-MSP
1.4	<p>RESOURCES: Ensure that resources, including human and financial resources, are available to cover the incremental costs associated with the management of increased waste volumes, including biomedical waste and vaccination related waste (expired and opened vaccine vials), resulting from Project activities.</p>	Throughout Project implementation.	PIU-MSP
ESS 2: LABOR AND WORKING CONDITIONS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>The Project shall be carried out in accordance with the applicable requirements of ESS2 and the Project’s Labor Management Procedures (LMP), which are incorporated into the ESMF, and include, inter alia, provisions on working conditions, management of worker relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	Same timeframe as that for action 1.2.a. The LMP shall be applied throughout Project implementation.	PIU-MSP
2.2.	Implement the grievance procedures set forth in the LMP and establish a grievance hotline and assign focal points to address these grievances within MSP.	Throughout Project implementation.	PIU-MSP
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to: carry out the purchase, storage, transportation and handling of vaccines (including, ultra-cold chain management) in a safe manner and in accordance with the EHSGs, and other relevant GIIP including relevant WHO guidelines; and adequately manage and dispose of health care wastes (including, vaccines) and other types of hazardous and non-hazardous wastes.	Throughout Project implementation.	PIU-MSP
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>COMMUNITY HEALTH AND SAFETY</p> <p>Relevant aspects of this standard shall be considered, as needed, under action 1.2 above including, inter alia, measures to: minimize the potential for community exposure to communicable diseases; establish and implement appropriate quality management systems to manage the risks and the impacts that services provided and activities carried out under the Project may have on community health and safety; manage the risks of the use of security personnel; manage the risks of labor influx; and prevent and respond to sexual exploitation and abuse, and sexual harassment.</p>	Throughout Project implementation.	PIU-MSP
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5	Not relevant.		
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6	Not relevant.		
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
7.1	<p>MEASURES FOR INDIGENOUS PEOPLES</p> <p>Implement measures relating to Indigenous Peoples Plan (IPP) for the Project, consistent with ESS7, including, inter alia: (i) Develop, consult on, adopt, disclose and implement the Indigenous Peoples Plan (IPP); (ii) ensure that a process of meaningful consultations is carried out with indigenous peoples and the other social groups covered by the IPP, in line with the updated Stakeholder Engagement Plan (SEP); (iii) implement procedures, protocols and/ or other measures to ensure that indigenous peoples and the other social groups covered by the IPP benefit from the Project in a fair, equitable, inclusive and culturally appropriate manner, including, among other things, tracking their access to vaccines as part of the Project’s monitoring and evaluation mechanism; and (iv) implement measures to ensure that indigenous peoples and the other social groups covered by the IPP can access the Project’s grievance mechanism in a culturally appropriate manner.</p>	Update the IPP as an Additional Condition of Effectiveness under the second AF Loan Agreement. The IPP shall be implemented throughout Project implementation.	PIU-MSP
ESS 8: CULTURAL HERITAGE			
8	Not relevant.		
ESS 9: FINANCIAL INTERMEDIARIES			
9	Not relevant.		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN</p> <p>Update, consult on, and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	Update and consult on the SEP as an Additional Condition of Effectiveness under the second AF Loan Agreement. The SEP shall be implemented throughout Project implementation.	PIU-MSP

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.2	<p>GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p> <p>The grievance mechanism shall also receive, register, and address concerns arising from unintended health consequences after vaccination, especially those resulting in serious adverse effects.</p>	<p>The MSP is currently implementing measures to improve its capacity to monitor complaints through the Action Plan referenced in action 1.2.a, which shall be incorporated into the ESMF.</p> <p>These measures shall be implemented no later than 30 days after the Effective Date of the second AF Loan Agreement. The GRM shall be maintained and operated throughout Project implementation.</p>	PIU-MSP
CAPACITY SUPPORT (TRAINING)			
CS1	<p>TRAINING: Provide training to the PIU and other relevant implementing support staff responsible for the Project on the Project’s ESHS plans and instruments; fair, equitable and inclusive access and allocation of Project benefits including with regards to vaccines; and the roles and responsibilities of different key agencies. These include:</p> <ul style="list-style-type: none"> • Grievances management, including their receipt and processing • Social inclusion and attention to vulnerable groups • Occupational Health and Safety operational measures • Vaccine storage, transportation, distribution, and administration • Standard precautions for COVID-19 patients • Risk communication and community engagement • Medical and hazardous waste management 	Throughout Project implementation, as needed.	PIU-MSP